## **Portfolio Questionnaire**

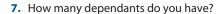
Client Name: \_\_\_\_\_

Client Signature:	Date:			
This comprehensive questionnaire will help assess your investment goals and identify what strategies are right for you.				
As your financial goals change, you may find it useful to periodically review your questionnaire responses with us to ensure your investment choices match your current needs.				
Your Investme	nt Profile	SCORE		
1. What is your investme	nt time horizon?			
1 year $(score = 0)$	4 years (score = 5)			
2 years (score = $\frac{2}{}$ )	5–10 years (score = 10)			
3 years (score $=$ 3)	10+ years (score = 13)			
2. What average annual	return do you expect?			
4%  (score = 0)	8% (score = 4)			
6% (score = 3)	9% (score = 5)			
3. How much of a short-t	erm (less than one year) decline in your assets can you tolerat	re?		
-5% (score = 0)	-15% (score = 10)			
-10%  (score = 6)	-20% (score = 17)			
4. Are you willing to incr	ease your risk for potentially higher returns?			
No, I am not willing to risk my capital. $(score = 0)$				
Yes, if returns are likely to remain positive. (score = 3)		)		
Yes, if returns over my indicated time horizon are unlikely to be negative. (score = 6)				
Yes, I am willing to und	dertake a degree of capital risk. (score = 9)	)		
5. What is the minimum	amount you need to withdraw annually for income purposes?	?		
A significant amount	(score = 0)			
A moderate amount	(score = 2)			
None	(score = 5)			
<b>6.</b> What portion of your a your indicated time ho	assets do you expect to have to liquidate over orizon?			
None (score = 7)	6% (score = 4)			
2% (score = 6)	8% (score = 3)			
4% (score = 5)	10% (score = 0)			
Sub Total Section One				



## **Your Personal Profile**

## SCORE



```
None (score = 5) Two (score = 1)
One (score = 3) More than two (score = 0)
```

8. What is your approximate annual income?

```
Under $50,000 (score = 0) $100,00-$150,000 (score = 5) $50,000-$100,000 (score = 2) Over $150,000 (score = 7)
```

9. What are your current sources of income?

```
Employment (score = 2) Investments (score = 1)

Pension (score = 1) Other – please define (score = 1)

Benefits (score = 1)
```

10. What is the net value of your additional assets (real estate, business, etc.)?

```
Less than $500,000 (score = 0) $1 million = 5 million (score = 5) $500,000 = 1 million (score = 2) Over $2 million (score = 7)
```

11. How would you describe your level of investment knowledge?

```
Non-existent (score = 0) Average (score = 3)
Limited (score = 1) Sophisticated (score = 5)
```

12. Which statement best describes your investment goals?

```
I want to preserve my capital (score = 0)
I want to grow my capital and generate income (score = 10)
I want to generate income (score = 4)
I want to grow my capital (score = 17)
```

## **Analysis and Recommendations**

Subtotal – Section I
Subtotal – Section II

**Total Score:** 

**Recommended Portfolio Type** 

<b>Diversified Income</b>	0-30	Growth	61-74
<b>Balanced Income</b>	31-45	Global Growth	75-89
<b>Balanced Growth</b>	46-60	<b>Maximum Growth</b>	90-100

Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the simplified prospectus before investing. Mutual funds are not guaranteed and are not covered by the Canadian Deposit Insurance Corporation or by any other government deposit insurer. There can be no assurances that the fund will be able to maintain its net asset value per security at a constant amount or that the full amount of your investment in the fund will be returned to you. Fund values change frequently and past performance may not be repeated. Mutual funds provided through Fundex Investments Inc.

Labour Sponsored Funds have tax credits that are subject to certain conditions and are generally subject to recapture, if shares are redeemed within eight years.







